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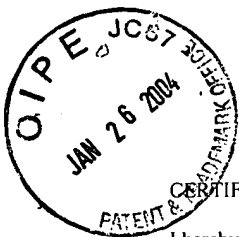
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/705,989	
	Filing Date	November 12, 2003	
	First Named Inventor	Lilip Lau	
	Art Unit	3736	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	9	Attorney Docket Number	PARCR 65989

ENCLOSURES (check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	FULWIDER PATTON LEE & UTECHT, LLP
Signature	<i>John Nagy</i>
Date	January 22, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on			
Typed or printed name	JOHN S. NAGY, ESQ.		
Signature	<i>John Nagy</i>	Date	January 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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John S. Nagy, Reg. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/705,989  
Applicant : Lilip Lau, William M. Hartigan  
Filed : November 12, 2003  
Art Unit : 3736  
Examiner : Unassigned  
Title : EXPANDABLE CARDIAC HARNESS FOR TREATING  
HEART FAILURE

Docket No.: : PARCR 65989  
Customer No. : 24201

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

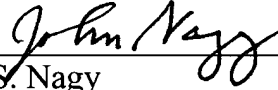
Dear Sir:

The documents listed on the enclosed Forms PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97(c) (3) and § 1.98(d). Enclosed is a copy of two Information Disclosure Statements (each with Form PTO/SB/08A) and a Notice of References Cited (Form PTO-892) as filed in the parent application, U.S. Serial No. 09/952,116 now U.S. Patent No. 6,663,558. The references listed were previously submitted or were cited in parent application U.S. Serial No. 09/634,043 filed August 8, 2001. It is believed that these references will be helpful during examination of the application.

This application is a continuation of U.S. Serial No. 09/952,116 which issued as U.S. Patent No. 6,663,558 on December 16, 2003, which is a continuation of U.S. Serial No. 09/634,043 filed August 8, 2001. A copy of the documents listed on the Information Disclosure Statement by Applicant, Forms PTO/SB/08A can be found in U.S. Serial No. 09/634,043.

Respectfully submitted,

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38692.1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lau, et al. ) Group Art Unit Unknown  
App. No. : Unknown )  
Filed : Herewith )  
For : EXPANDABLE CARDIAC )  
HARNESS FOR TREATING )  
CONGESTIVE HEART )  
FAILURE )  
Examiner : Unknown )

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

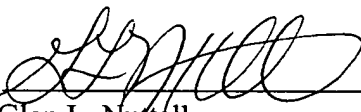
Dear Sir:

Enclosed is form PTO-1449 listing seventy-two references, none of which are enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1).

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 9/10/01

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(949) 760-0404

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4,536,893	08-27-1985	Parravicini			
	2.	4,936,857	06-26-1990	Kulik			
	3.	4,957,477	09-18-1990	Lundbäck			
	4.	5,131,905	07-21-1992	Grooters			
	5.	5,256,132	10-26-1993	Snyders			
	6.	5,558,617	09-24-1996	Heilman, et al.			
	7.	5,582,616	12-10-1996	Bolduc, et al.			
	8.	5,702,343	12-30-1997	Alferness			
	9.	5,713,954	02-03-1998	Roenberg, et al.			
	10.	5,749,839	05-12-1998	Kovacs			
	11.	5,800,528	09-01-1998	Lederman, et al.			
	12.	5,848,962	12-15-1998	Feindt, et al.			
	13.	5,910,124	06-08-1999	Rubin			
	14.	5,957,977	09-28-1999	Melvin			
	15.	5,961,440	10-05-1999	Schweich, Jr., et al.			
	16.	5,976,069	11-02-1999	Navia, et al.			
	17.	6,024,096	02-15-2000	Buckberg			
	18.	6,045,497	04-04-2000	Schweich, Jr., et al.			
	19.	6,050,936	04-18-2000	Schweich, Jr., et al.			
	20.	6,059,715	05-09-2000	Schweich, J., et al.			
	21.	6,077,214	06-20-2000	Mortier, et al.			
	22.	6,077,218	06-20-2000	Alferness			
	23.	6,085,754	07-11-2000	Alfermess, et al.			
	24.	6,123,662	09-26-2000	Alferness, et al.			
	25.	6,126,590	10/03/2000	Alferness			
	26.	6,183,411 B1	02/06/2001	Mortier, et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	27.	6,076,013	06/13/2000	Brennan, et al.			
	28.	6,125,852	10/03/2000	Stevens, et al.			
	29.	6,155,972	12/05/2000	Nauertz, et al.			
	30.	6,162,168	12/19/2000	Schweich, Jr., et al.			
	31.	6,165,119	12/26/2000	Schweich, Jr., et al.			
	32.	6,165,120	12/26/2000	Schweich, Jr., et al.			
	33.	6,165,121	12/26/2000	Alferness			
	34.	6,165,122	12/26/2000	Alferness			
	35.	US 6,169,922 B1	01/02/2001	Alferness, et al.			
	36.	US 6,174,279 B1	01/16/2001	Girard			
	37.	US 6,179,791 B1	01/30/2001	Krueger			
	38.	US 6,190,408 B1	02/20/2001	Melvin			
	39.	US 6,193,648 B1	02/27/2001	Krueger			
	40.	US 6,221,103 B1	04/24/2001	Melvin			
	41.	US 6,224,540 B1	05/01/2001	Lederman, et al.			
	42.	US 6,230,714 B1	05/15/2001	Alferness, et al.			
	43.	US 6,260,552 B1	07/17/2001	Mortier, et al.			
	44.	US 6,261,222 B1	07/17/2001	Schweich, Jr., et al.			
	45.	US 6,264,602 B1	07/24/2001	Mortier, et al.			

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	46.	FR 2,527,435	02-02-1983	France				
	47.	WO 98/03213	01-28-1999	PCT				
	48.	WO 98/58598	12-30-1998	Germany				
	49.	WO 99/30647	06-24-1999	PCT				
	50.	WO 00/02500	01-20-2000	PCT				

EXAMINER	DATE CONSIDERED
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PARA.002C3	APPLICATION NO. Unknown
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Lilip Lau, et al.	
		FILING DATE Herewith	GROUP ART UNIT Unknown

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
	51.	WO 00/06026	02-10-2000	PCT				
	52.	WO 00/06027	02-10-2000	PCT				
	53.	WO 00/06028	02-10-2000	PCT				
	54.	WO 00/16700	03-30-2000	PCT				
	55.	WO 99/53977	10-28-1999	PCT				
	56.	WO 01/50981 A1	07/19/2001	WIPO				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	57.	Alaim Carpentier, M.D., Ph.D., et al, <i>Dynamic Cardiomyoplasty at Seven Years</i> , <u>The Journal of Thoracic and Cardiovascular Surgery</u> , Volume 106, Number 1.
	58.	Eli R. Capouya, M.D., et al., <i>Girdling Effect of Nonstimulated Cardiomyoplasty on left Ventricular Function</i> , <u>The Society of Thoracic Surgeons</u> , 1993;56:867-71.
	59.	O. H. Frazier, M.D. and Timothy J. Myers, BS, <i>Left Ventricular Assist System as a Bridge to Myocardial Recovery</i> , <u>The Society of Thoracic Surgeons</u> , 68: 734-41.
	60.	Joong Hwan Oh, M.D., et al, <i>Mechanisms of Dynamic Cardiomyoplasty: Current Concepts</i> , <u>J. Card Surg</u> , 1996: 11:194-199.
	61.	Howard R. Levin, M.D., et al., <i>Reversal of Chronic Ventricular Dilation in Patients With End-Stage Cardiomyopathy by Prolonged Mechanical Unloading</i> , <u>Circulation</u> , Volume 91, No. 11, June 1995.
	62.	David A. Kass, M.D., et al., <i>Reverse Remodeling From Cardiomyoplasty in Human Heart Failure</i> , <u>Circulation</u> , Volume 91, No. 9, May 1, 1995.
	63.	Vinay Badhwar, et al., <i>Power Generation From Four Skeletal Muscle Configurations, Design Implications for a Muscle Powered Cardiac Assist Device</i> , <u>ASAIO Journal</u> , 1997: 43: M651-M657.
	64.	Ray C.-J. Chiu, <i>Using Skeletal Muscle for Cardiac Assistance</i> , <u>Scientific American</u> , November/December 1994.
	65.	CARDIAC BINDING IN EXPERIMENTAL HEART FAILURE, Mikhail Vaynblat, MD, et al., 1997 by <u>The Society of Thoracic Surgeons</u> , Pages 81-85.
	66.	THE EFFECTS OF PROSTHETIC CARDIAC BINDING AND ADYNAMIC CARDIOMYOPLASTY IN A MODEL OF DILATED CARDIOMYOPATHY, Joong Hwan Oh, MD, et al., <u>The Journal of Thoracic Cardiovascular Surgery</u> Volume 116, Number 1, Pages 148-153.
	67.	PASSIVE VENTRICULAR CONSTRAINT AMENDS THE COURSE OF HEART FAILURE: A STUDY IN AN OVINE MODEL OF DILATED CARDIOMYOPATHY, J.M. Power, et al., <u>Cardiovascular Research</u> 44 (1999) 549-555.
	68.	GIRDLING EFFECT ON NONSTIMULATED CARDIOMYOPLASTY ON LEFT VENTRICULAR FUNCTION, Eli R. Capouya, M.D., et al, 1993 by <u>the Society of Thoracic Surgeons</u> , Pages 867-871.
	69.	PASSIVE EPICARDIAL CONTAINMENT PREVENTS VENTRICULAR REMODELING IN HEART FAILURE, Pervaiz A. Chaudry, M.D., et al, 2000 by <u>The Society of Thoracic Surgeons</u> , Pages 1275-1280.
	70.	PASSIVE VENTRICULAR CONSTRAINT FOR THE TREATMENT OF CONGESTIVE HEART FAILURE, Mehmet C. Oz, MD, 2001 by <u>The Society of Thoracic Surgeons</u> , Pages S185-187.
	71.	VENTRICULAR CONTAINMENT AS AN ADJUNCTIVE PROCEDURE IN ISCHEMIC CARDIOMYOPATHY: EARLY RESULTS, Jai S. Raman, et al., <u>Ann (Thorac Surg)</u> 2000;70:1124-6).
	72.	CARDIOTHORACIC SURGERY, <u>SURGICAL FORUM</u> , Pages 146-148

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